

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D. C. 20549

**FORM SD**

**SPECIALIZED DISCLOSURE REPORT**

**AbbVie Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other Jurisdiction  
of Incorporation)

**001-35565**  
(Commission File Number)

**32-0375147**  
(IRS Employer  
Identification No.)

**1 North Waukegan Road**  
**North Chicago, Illinois 60064-6400**  
(Address of principal executive offices)(Zip Code)

**William J. Chase (847) 932-7900**  
(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

**SECTION 1 — CONFLICT MINERALS DISCLOSURE**

**Item 1.01. Conflict Minerals Disclosure and Report**

The Conflict Minerals Report for AbbVie Inc. (AbbVie) for the year ended December 31, 2016 is attached as Exhibit 1.01 to this Report and is available on AbbVie's website at [www.abbvieinvestor.com](http://www.abbvieinvestor.com).

**Item 1.02. Exhibit**

AbbVie's Conflict Minerals Report is attached as Exhibit 1.01 to this Report.

**SECTION 2 — EXHIBITS**

**Item 2.01. Exhibits**

<u>Exhibit No.</u>	<u>Description</u>
Exhibit 1.01	Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

AbbVie Inc.  
(Registrant)

By: /s/ William J. Chase

Date: May 31, 2017

**EXHIBIT INDEX**

<u>Exhibit No.</u>	<u>Description</u>
Exhibit 1.01	Conflict Minerals Report.

**AbbVie Inc.**  
**Conflict Minerals Report**  
**For the Year Ended December 31, 2016**

As adopted by the Securities and Exchange Commission (SEC) pursuant to the Dodd-Frank Wall Street Reform and Consumer Protection Act, Rule 13p-1 under the Securities Exchange Act of 1934 (the Rule) imposes certain reporting obligations on SEC registrants who manufacture, or contract to manufacture, products for which certain specified minerals are necessary to the functionality or production of the products, regardless of the geographic origin of the minerals and whether or not they fund armed conflict. These minerals consist of columbite-tantalite (coltan), cassiterite and wolframite (and their derivatives tantalum, tin, and tungsten), and gold (collectively, 3TG).

This Report covers AbbVie products manufactured from January 1 through December 31, 2016 for which 3TG may be necessary to the functionality or production. As used in this Report, the term “AbbVie” refers to AbbVie Inc., a Delaware corporation, and its consolidated subsidiaries.

## 1. Company and Product Overview

AbbVie is a global, research-based biopharmaceutical company. AbbVie develops and markets advanced therapies that address some of the world’s most complex and serious diseases.

AbbVie has concluded that the delivery mechanisms for several of its products, such as pump components, contain 3TG that is necessary to their functionality or production (the Products). AbbVie either assembles the Products itself or contracts to have the Products assembled by a third party. The Product components are also sourced from third-party suppliers. AbbVie does not directly purchase ore or unrefined 3TG, nor does it have direct relationships with any smelters or refiners. Therefore, AbbVie relies on its direct suppliers to provide information on the origin of any 3TG contained in the product components they supply to AbbVie, including the source of any 3TG that they obtain from lower tier suppliers and smelters.

## 2. Summary of Findings

In accordance with the Rule, AbbVie conducted a good faith reasonable country of origin inquiry (RCOI) that it believes was reasonably designed to determine whether any of the necessary 3TG contained in the Products originated in the Democratic Republic of the Congo or an adjoining country (Covered Countries) or from recycled or scrap sources.

As a result of its RCOI, AbbVie has determined that it has insufficient information to allow it to conclude that (i) it has no reason to believe that any of its necessary 3TG originated in the Covered Countries or (ii) it reasonably believes its necessary 3TG came from recycled or scrap sources. AbbVie therefore conducted further due diligence on the source and chain of custody of the necessary 3TG contained in the Products.

Following the exercise of its due diligence with suppliers, AbbVie is unable to determine at this time the country of origin or mine of origin of the 3TG contained in the Products. The results of AbbVie’s supply chain due diligence are set forth in this Report, which is published on AbbVie’s website at [www.abbvieinvestor.com](http://www.abbvieinvestor.com).

## 3. Due Diligence Process

AbbVie’s due diligence measures were designed to conform in all material respects with the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas: Third Edition* and the related Supplements (OECD Guidance). The OECD Guidance is an internationally recognized due diligence framework consisting of a multi-step, risk-based process. AbbVie has a cross-functional Conflict Minerals Strategy team that is led by Restricted Substances Management, supervised by the Vice President of Direct Purchasing and includes stakeholder participation from AbbVie Finance, Legal, Quality, Procurement, and Commercial functional areas.

AbbVie’s Supplier Code of Conduct provides that suppliers are expected to ensure that all products and product components supplied to AbbVie do not contain 3TG sourced from Covered Countries that has directly or indirectly financed or benefitted armed groups in the Covered Countries. The Supplier Code of Conduct also requires suppliers to have systems in place that are designed to meet this objective. The Code is published on AbbVie’s website (at <https://www.abbvie.com/uploads/PDFs/suppliers/AbbVie-Supplier-Code-of-Conduct-Brochure.pdf>). Violations of the Supplier Code of Conduct, including with respect to 3TG sourcing, are to be reported to AbbVie’s Purchasing and Supplier Management or to the AbbVie Office of Ethics and Compliance. Reports may be made on a confidential and anonymous basis, where permitted by local law. AbbVie also has a program to monitor supplier social responsibility, which includes surveying and auditing supplier adherence to AbbVie’s Supplier Code of Conduct.

AbbVie has a process to survey its direct suppliers, with the objective of providing transparency over the supply chain and enabling identification of upstream participants such as smelters, together with related controls. It conducted this survey using the Conflict Minerals Reporting Template (the Template) developed by the Conflict-Free Sourcing Initiative (CFSI), which was founded by the Electronics Industry Citizenship Coalition (EICC) and the Global eSustainability Initiative (GeSI). The Template is designed to facilitate a supplier’s disclosure of information regarding 3TG contained in the supplier’s products, including the country of origin and the name and location of the smelters that process the 3TG. As part of this process, AbbVie also continued to engage with suppliers concerning their 3TG sourcing and Template responses, including additional communication to address any incomplete or inconsistent information.

The majority of suppliers have indicated that their products do not contain 3TG. One supplier reported that their products contain 3TG that are necessary to the production and functionality of the products. The supplier reported that the country of origin for the 3TG in their products was unknown. The supplier reported some smelters and refiners for a portion of the 3TG in their products and indicated that not all of their suppliers have furnished complete data.

AbbVie has reviewed the smelter and refinery lists provided by the supplier to determine if reported facilities were valid entities, by comparing those smelting and refining facilities to lists supplied by Trade organizations and Governments. Additionally, AbbVie reviewed the lists of smelters and refiners determined to be valid entities against lists of smelters and refineries that are certified to be conflict free. Lastly, AbbVie researched information regarding each valid smelter or refiner that was *not* certified as conflict-free to determine if the facilities are known to source from the Covered Countries.

Approximately 90% of smelting and refining facilities reported to AbbVie were determined to be valid entities when smelting and refining facility information was compared against lists supplied by the Conflict-Free Sourcing Initiative's Standard Smelting list (as of April 12, 2017), Department of Commerce (2016 List), and the Tungsten Industry-Conflict Minerals Council (as of December 14, 2016).

Approximately 93% of the reported valid smelters and refiners are designated either as a Category A member company of the Tungsten Industry-Conflict Minerals Council or designated by the CFSI's Conflict-Free Smelter Program as "compliant" or subject to an ongoing audit, according to lists published by CFSI (as of April 19, 2017) and Tungsten Industry-Conflict Minerals Council (as of December 14, 2016). The remaining facilities are located in China, Japan, Republic of Korea, Mexico, and United States. AbbVie is unable to trace the chain of custody of any 3TG specifically contained in the Products further up the supply chain to a specific smelter or, in turn, to the mine of origin.

Internet searches of the facility name and/ or smelter identification number of the remaining valid smelting and refining facilities reported to AbbVie that were not certified as conflict-free based on findings from lists of trade organizations did not reveal sufficient data to determine if the facilities do or do not source from the Covered Countries.

AbbVie continues to engage the supplier regarding the data discrepancies identified in order to accurately identify valid smelters within AbbVie's supply chain and obtain mining country of origin data.

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As a result, based on its reasonable efforts, AbbVie is unable to determine the country of origin, smelter or refiner, or mine of origin of the 3TG specifically contained in the Products. AbbVie is continuing to work with its suppliers regarding responsible sourcing practices generally and their 3TG supply chain in particular.

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