SEC Form 4

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
|---|---|
| OMB Number: | 3235-0287 |
| Estimated average burden | |
| hours per response: | 0.5 |

| 1. Name and Address of Reporting Person[*]  Gosebruch Henry O | 2. Issuer Name **and** Ticker or Trading Symbol  AbbVie Inc. [ ABBV ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |
|---|---|---|
| (Last)          (First)          (Middle)  1 N. WAUKEGAN ROAD | 3. Date of Earliest Transaction (Month/Day/Year)  07/31/2017 | Director _____  10% Owner _____  X Officer (give title below)  Other (specify below) _____  Chief Strategy Officer |
| (Street)  NORTH CHICAGO          IL          60064 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person |
| (City)          (State)          (Zip) | | |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 5,663 | D | $70 | 96,074 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.005 | 95,974 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 1,537 | D | $70.01 | 94,437 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 600 | D | $70.02 | 93,837 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 300 | D | $70.04 | 93,537 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.05 | 93,437 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 400 | D | $70.07 | 93,037 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.08 | 92,937 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.09 | 92,837 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 400 | D | $70.1 | 92,437 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 1,000 | D | $70.11 | 91,437 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 200 | D | $70.115 | 91,237 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 700 | D | $70.12 | 90,537 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 800 | D | $70.13 | 89,737 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.14 | 89,637 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.15 | 89,537 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 600 | D | $70.16 | 88,937 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 400 | D | $70.17 | 88,537 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 200 | D | $70.175 | 88,337 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 500 | D | $70.18 | 87,837 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 300 | D | $70.185 | 87,537 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 1,200 | D | $70.19 | 86,337 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 500 | D | $70.2 | 85,837 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 700 | D | $70.21 | 85,137 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 400 | D | $70.22 | 84,737 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 600 | D | $70.23 | 84,137 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 200 | D | $70.25 | 83,937 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.29 | 83,837 | D | |
| Common stock, $0.01 par value | 07/31/2017 | | S | | 100 | D | $70.34 | 83,737 | D | |

<table>
<tr><th colspan="11">Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</th></tr>
<tr>
<th>1. Title of Security (Instr. 3)</th>
<th>2. Transaction Date (Month/Day/Year)</th>
<th>2A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th colspan="2">3. Transaction Code (Instr. 8)</th>
<th colspan="3">4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)</th>
<th>5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)</th>
<th>6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>7. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
<tr>
<th></th><th></th><th></th>
<th>Code</th><th>V</th>
<th>Amount</th><th>(A) or (D)</th><th>Price</th>
<th></th><th></th><th></th>
</tr>
<tr>
<td>Common stock, $0.01 par value</td>
<td></td><td></td><td></td><td></td><td></td><td></td><td></td>
<td>1,369</td>
<td>I</td>
<td>Profit sharing trust[1]</td>
</tr>
</table>

<table>
<tr><th colspan="16">Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities)</th></tr>
<tr>
<th>1. Title of Derivative Security (Instr. 3)</th>
<th>2. Conversion or Exercise Price of Derivative Security</th>
<th>3. Transaction Date (Month/Day/Year)</th>
<th>3A. Deemed Execution Date, if any (Month/Day/Year)</th>
<th colspan="2">4. Transaction Code (Instr. 8)</th>
<th colspan="2">5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)</th>
<th colspan="2">6. Date Exercisable and Expiration Date (Month/Day/Year)</th>
<th colspan="2">7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)</th>
<th>8. Price of Derivative Security (Instr. 5)</th>
<th>9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)</th>
<th>10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)</th>
<th>11. Nature of Indirect Beneficial Ownership (Instr. 4)</th>
</tr>
<tr>
<th></th><th></th><th></th><th></th>
<th>Code</th><th>V</th>
<th>(A)</th><th>(D)</th>
<th>Date Exercisable</th><th>Expiration Date</th>
<th>Title</th><th>Amount or Number of Shares</th>
<th></th><th></th><th></th><th></th>
</tr>
</table>

**Explanation of Responses:**

1. Balance in AbbVie Savings Program as of July 31, 2017.

**Remarks:**

Form 1 of 2

Steven L. Scrogham, attorney-in-fact for Henry O. Gosebruch   08/02/2017

\*\* Signature of Reporting Person          Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**