SEC Form 4

FORM 4

Instruction 1(b)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF CHANGE
obligations may continue. See	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] SALEKI-GERHARDT AZITA			2. Issuer Name and Ticker or Trading Symbol <u>AbbVie Inc.</u> [ABBV]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner							
(Last) 1 N. WAUKE	st) (First) (Middle) N. WAUKEGAN ROAD		3. Date of Earliest Transaction (Month/Day/Year) 02/15/2024	X Officer (give title below) Other (specify below) EVP, CHIEF OPERATIONS OFFICER							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)				X Form filed by One Reporting Person							
NORTH CHICAGO	IL	60064		Form filed by More than One Reporting Person							
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication								
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, \$0.01 par value	02/15/2024		A		32,097(1)	A	\$ <mark>0</mark>	221,946	D	
Common Stock, \$0.01 par value	02/15/2024		A		8,558(2)	A	\$ <mark>0</mark>	230,504	D	
Common Stock, \$0.01 par value	02/15/2024		A		7,380 ⁽³⁾	Α	\$ <mark>0</mark>	237,884	D	
Common Stock, \$0.01 par value	02/15/2024		A		6,060 ⁽⁴⁾	Α	\$ <mark>0</mark>	243,944	D	
Common Stock, \$0.01 par value								2,322 ⁽⁵⁾	I	Profit sharing trust
Common Stock, \$0.01 par value								3,873(6)	Ι	By spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (Right to Buy) ⁽⁷⁾	\$0	02/15/2024		Α		29,313		02/15/2025	02/14/2034	Common Stock	29,313	\$ <u>0</u>	29,313	D	

Explanation of Responses:

1. Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 18, 2021. The award is subject to performance-vesting restrictions based on earnings per share and relative total shareholder return. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2024.

2. Represents shares of AbbVie common stock issued under a performance-vesting restricted stock unit award granted to the reporting person on February 18, 2021. The award is subject to performance-vesting restrictions based on a relative return on invest isdee the area from the communication of the state of the reported in the communication of the state of the reported in Column 2 above upon certification of performance vesting restrictions with respect to the shares were released on the date reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in the contract above upon certification of performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in the contract above upon certification of performance vesting restrictions with respect to the reporting performance vesting restrictions of the contract above upon certification of performance vesting restrictions with respect to the reporting performance vesting restrictions of the contract above upon certification of performance vesting restrictions with respect to the reporting performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions with respect to the reported in Column 2 above upon certification of performance vesting restrictions above upon certification of the restrictions above upon certificating restrictio

3. Represents shares of AbbVie common stock issued under a performance-vesting restricted stock unit award granted to the reporting person on February 17, 2022. The award is subject to performance-vesting restrictions based on a relative return on invested capital measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2024.

4. Represents shares of AbbVie common stock issued under a performance-vesting restricted stock unit award granted to the reporting person on February 16, 2023. The award is subject to performance-vesting restrictions based on a relative return on invested capital measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2024.

5. Balance in AbbVie Savings program as of January 31, 2024.

6. The reporting person disclaims beneficial ownership of all securities held by her spouse.

7. Employee stock option granted pursuant to the AbbVie Amended and Restated 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 9,771 on February 15, 2025, 9,771 on February 15, 2026, and 9,771 on February 15, 2027

> T.O. Odutayo, attorney-in-fact 02/20/2024 for Azita Saleki-Gerhardt

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Azita Saleki-Gerhardt constitutes and appoints PERRY C. SIATIS, EMILY A. WEITH, and T.O. ODUTAYO, and each of them individually, as the undersigned's true and lawful attorney-in-fact and agent, with full power of substitution and re-substitution, for the undersigned and in the undersigned's name, place and stead, to prepare and sign any and all Securities and Exchange Commission ("SEC") Notices of Proposed Sales of Securities pursuant to Rule 144 under the Securities Act of 1933, as amended (the "Securities Act"), on Form 144, any and all SEC statements on Forms 3, 4 and 5 as required under Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and any and all other forms and reports required or permitted to be filed or signed by the undersigned under the Securities Act or the Exchange Act, to the extent permitted by law, as well as a Form ID and any other documents necessary to obtain codes and passwords necessary to make electronic filings, and any amendments or successors to such forms or reports, and to file the same with all exhibits thereto, and other documents in connection therewith, with the SEC, granting unto said attorney-in-fact and agent full power and authority to do and perform each act and thing requisite, necessary or desirable to be done under said Rule 144 and Section 16(a), as fully for all intents and purposes as the undersigned might or could do in person, hereby ratifying and confirming all that said attorney-in-fact and agent may lawfully do or cause to be done by virtue hereof.

A copy of this power of attorney shall be filed with the SEC. The authorization set forth above shall continue in full force and effect until the undersigned is no longer required to file Forms 144, 3, 4, or 5 or if earlier, until the undersigned revokes such authorization by written instructions to the attorney-in-fact.

Date: July 12, 2023

<u>/s/ Azita Saleki-Gerhardt</u> Azita Saleki-Gerhardt

AbbVie Inc. 1 N. Waukegan Road North Chicago, IL 60064