SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|
| Estimated average burden | | | | | | | |
| hours per response: | 0.5 | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* BURNSIDE WILLIAM H.L. | | | | | er Name and Ticke Vie Inc. [AB] | • | Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|---|---------|---------------|-----------------------------------|------------------|---|---|---|--|---|---|---|--|--|
| (Last) | (First) | (Middle) | | 3. Date 05/05 | of Earliest Transa 2023 | ction (Month/I | Day/Year) | X | Director Officer (give title below) | | (specify | | |
| 1 N. WAUKEGAN ROAD | | | | 4. If An | nendment, Date of | Original Filed | (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| (Street) | | | | | | | | X | Form filed by On | e Reporting Per | son | | |
| NORTH CHICAGO | IL | 60064 | | | | | | | Form filed by Mo Person | re than One Re | porting | | |
| | | | | Rule | e 10b5-1(c) | Transact | ion Indication | | | | | | |
| (City) | (State) | (Zip) | | | | | action was made pursuant to ns of Rule 10b5-1(c). See In | | | en plan that is int | ended to | | |
| | | Table I - Nor | n-Derivat | tive Se | ecurities Acqu | uired, Disp | oosed of, or Benef | icially | Owned | | | | |
| Date | | | 2. Transact Date (Month/Day | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |

| 1 · · · · · · · · · · · · · · · · · · · | | | | | | Reported | (Instr. 4) | | | | |
|---|------------|--|------|---|----------|---------------|-------------------|------------------------------------|---|-----------|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150. 4) | |
| Common Stock, \$0.01 par value | 05/05/2023 | | Α | | 1,450(1) | Α | \$ <mark>0</mark> | 24,433 | D | | |
| Table II - Derivative Securities Acquired Disposed of or Beneficially Owned | | | | | | | | | | | |

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deriv | r osed) r. 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | ration Date Amount of Securities Underlying Derivative | | Amount of Securities Security Underlying Undrive Derivative Security (Instr. 5) Derivative Security (Instr. 5) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|-------------|---------------------------|--|--------------------|--|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. These are restricted stock units awarded under the AbbVie Amended and Restated 2013 Incentive Stock Program (the "Program"). They will be paid, on a one-to-one basis, in shares of AbbVie common stock on the earlier of the date of the director's separation from service, death, or the occurrence of a change in control (as defined in the Program).

| Steven L. Scrogham, attorney | <u>-</u> |
|---------------------------------------|------------|
| in-fact for William H.L. | 05/09/2023 |
| <u>Burnside</u> | |
| tt Olen et al. of Deve et al. Deve et | Data |

Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.