## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Michael Robert A. AbbVie Inc. [ABBV] (Check all application of the second seco												
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)												
NORTH IL 60064 Line) CHICAGO IL 60064 Form	,											
(City) (State) (Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)   2. Transaction Date (Month/Day/Year)   2A. Deemed Execution Date, if any (Month/Day/Year)   3. Transaction Date, if any (Month/Day/Year)   3. Transaction Date, if any (Month/Day/Year)   3. Transaction Date, if any (Month/Day/Year)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)   5. Amou Securities Acquired (A) or Object of (D) (Instr. 3, 4 and 5)	ties Form: Direct Indirect cially (D) or Indirect Beneficia I Following (I) (Instr. 4) Ownershi											
Code V Amount (A) or (D) Price Transact (Instr. 3	ction(s)											

Common St	tock, \$0.01	par value	02/1	8/2021		Α		5,049(1)	Α	\$ <mark>0</mark>	25,	319	D
Common St	tock, \$0.01	par value	02/1	02/18/2021				1,485(2)	Α	\$ <mark>0</mark>	26,	804	D
Common St	tock, \$0.01	par value	02/1	02/18/2021				8,859 <sup>(3)</sup>	Α	\$ <mark>0</mark>	35,	663	D
Common St	tock, \$0.01	par value	02/1	02/18/2021				16,220(4)	Α	\$ <mark>0</mark>	51,	883	D
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned       (e.g., puts, calls, warrants, options, convertible securities)													
	2. Conversion	3. Transaction Date	3A. Deemed Execution Date,	4. Transaction	5. Number of	6. Date Exercisable and Expiration Date			7. Title and Amount of Securities		8. Price of Derivative	9. Number of derivative	10. Owners

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option(right to buy) <sup>(5)</sup>	\$105.92	02/18/2021		Α		65,217		02/18/2022	02/17/2031	Common Stock	65,217	\$0	65,217	D	

## Explanation of Responses:

1. Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on earnings per share and relative total shareholder return. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

2. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

3. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 21, 2019. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

4. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 20, 2020. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

5. Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 21,739 on February 18, 2022, 21,739 on February 18, 2023, and 21,739 on February 18, 2024.

<u>Steven L. Scrogham, attorney-</u> <u>in-fact for Robert A. Michael</u>

02/22/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.