### SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL

|                          | OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|---------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |                     |           |  |  |  |  |  |  |  |
|                          | hours per response: | 0.5       |  |  |  |  |  |  |  |
|                          |                     |           |  |  |  |  |  |  |  |

| 1. Name and Addr<br>Durkin Bria                  | ess of Reporting Perso<br><u>n L</u> | on*      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>AbbVie Inc.</u> [ ABBV ] | (Check                  | ionship of Reporting Pers<br>all applicable)<br>Director<br>Officer (give title | on(s) to Issuer<br>10% Owner<br>Other (specify |  |
|--|--------------------------------------|----------|---|-------------------------|---|--|--|
| (Last) (First) (Middle)<br>1 NORTH WAUKEGAN ROAD |                                      | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/20/2020                    | Х                       | below)<br>VP, Control   | below)   |  |
| (Street)<br>NORTH<br>CHICAGO                     | IL                                   | 60064    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                          | 6. Indivi<br>Line)<br>X | ,   |  |  |
| (City)   | (State)                              | (Zip)    |   |                         |   |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |                             |
|---------------------------------|--|---|------------------------------|---|---|---------------|---|---|---|-----------------------------|
|                                 |  |   | Code                         | v | Amount  | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                    |   | (Instr. 4)                  |
| Common Stock, \$0.01 par value  | 02/20/2020                                 |   | A                            |   | 2,979 <sup>(1)</sup>  | A             | \$ <mark>0</mark>   | 11,487  | D   |                             |
| Common Stock, \$0.01 par value  | 02/20/2020                                 |   | A                            |   | 1,075(2)  | A             | \$ <mark>0</mark>   | 12,562  | D   |                             |
| Common Stock, \$0.01 par value  | 02/20/2020                                 |   | A                            |   | 574 <sup>(3)</sup>  | A             | \$ <mark>0</mark>   | 13,136  | D   |                             |
| Common Stock, \$0.01 par value  | 02/20/2020                                 |   | A                            |   | 1,899 <sup>(4)</sup>  | A             | \$ <mark>0</mark>   | 15,035(7)   | D   |                             |
| Common Stock, \$0.01 par value  |  |   |                              |   |   |               |   | 253   | I   | By<br>spouse <sup>(5)</sup> |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of     |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)    | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Option<br>(right to<br>buy) <sup>(6)</sup>          | \$93.5  | 02/20/2020                                 |   | Α                            |   | 24,549 |     | 02/20/2021   | 02/19/2030         | Common<br>Stock   | 24,549                                 | \$0   | 24,549   | D  |  |

### Explanation of Responses:

1. Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 16, 2017. The award is subject to performance-vesting restrictions based on earnings per share and relative total shareholder return. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2020.

2. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 16, 2017. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2020.

3. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2020.

4. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 21, 2019. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2020.

5. The reporting person disclaims beneficial ownership of all securities held by his spouse.

6. Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 8,183 on February 20, 2021, 8,183 on February 20, 2022, and 8,183 on February 20, 2023.

7. The total number of securities beneficially owned by the reporting person is updated to correct an administrative error in a previous Form 4.

#### Steven Scrogham, attorney-in-02/24/2020 fact for Brian L. Durkin Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.