SEC Form 4

FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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| | | | | | | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* SALEKI-GERHARDT AZITA (Last) (First) (Last) (First) (Middle) 1 N. WAUKEGAN ROAD (Street) NORTH CHICAGO | | | | | 2. Is | Section 30(h) of the suer Name and Tic b <mark>bVie Inc.</mark> [A | ker or T | | | 5. R (Che | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner | | | | | |
|---|----|---------------------------|------------|---|---|---|--|---------|---------------------------------------|--------------------------------------|--|--|--|---|---|----------------------------|
| | | | | | | ate of Earliest Tran 21/2024 | saction | (Mont | h/Day/Year) | | below) | | | ther (s low) OFFI | | |
| | | | | | _ 4. lf | Amendment, Date | of Origir | nal Fil | ed (Month/Da | Line |) 🏅 Form f | iled by One | nt/Group Filing (Check Applicable d by One Reporting Person d by More than One Reporting | | | |
| (City) | (S | tate) | - Ru | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | |
| | | т | able I - N | lon-Deri | vative | Securities Ac | quire | d, Di | isposed o | f, or Be | eneficiall | y Owned | ł | | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a | | | | | 6. Ownerst Form: Dire (D) or Indir (I) (Instr. 4) | ct c ect E | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | Code | v | Amount | (A) or (D) | Price | Transac (Instr. 3 | tion(s) | | ľ | instr. 4) |
| Common Stock, \$0.01 par value 02/21/2 | | | | | 2024 | | М | | 52,870 | A | \$58.88 | 290 | 5,814 | D | | |
| Common Stock, \$0.01 par value 02/21/20 | | | | | 2024 | | S | | 52,870 | D | \$173.71 | ¹⁾ 243 | 3,944 | D | | |
| Common Stock, \$0.01 par value | | | | | | | | | | | | 2,3 | 322(2) | I | s | Profit sharing trust |
| Common Stock, \$0.01 par value | | | | | | | | | | | | 3,8 | 373 ⁽³⁾ | Ι | | By spouse |
| | | | Table I | | | Securities Acq calls, warrants | | | | | | Owned | | | | |
| Derivative Conversion Date Execution Date, | | 4. Transac Code (li | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying | | 8. Price of Derivative Security | 9. Numbe derivative Securities | e Own | ership | 11. Nature of Indirect Beneficial | | | |

| Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | Date (Month/Day/Year) | Execution Date, if any (Month/Day/Year) | Code (| | | Expiration Da (Month/Day/\ | | of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security (Instr. 5) | derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect Beneficial Ownership (Instr. 4) | |
|--|---|--------------------------|---|--------|---|-----|-------------------------------|---------------------|--|-----------------|--|--|---|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Option (Right to Buy) ⁽⁴⁾ | \$58.88 | 02/21/2024 | | М | | | 52,870 | 02/19/2016 | 02/18/2025 | Common Stock | 52,870 | \$58.88 | 0 | D | |

Explanation of Responses:

1. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$173.51 to \$174.03, inclusive. The reporting person undertakes to provide AbbVie Inc., any security holder of AbbVie Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. Balance in AbbVie Savings program as of January 31, 2024.

3. The reporting person disclaims beneficial ownership of all securities held by her spouse.

4. Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3.

T.O. Odutayo, attorney-in-fact for Azita Saleki-Gerhardt

02/23/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.