## SEC Form 4

 $\Box$ 

## FORM 4

Common Stock, \$0.01 par value

2. Conversion or Exercise Price of

Derivative Security

\$105.92

Explanation of Responses:

3. Transaction

(Month/Day/Year)

02/18/2021

on February 18, 2022, 14,079 on February 18, 2023, and 14,078 on February 18, 2024.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

D

1. Title of

Derivative

Option(right

to buy)(5)

Security (Instr. 3)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* RICHMOND TIMOTHY J.				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AbbVie Inc.</u> [ ABBV ]						ationship of Reportin k all applicable) Director Officer (give title	10% 0		
(Last) 1 N. WAUKEG	(First) AN ROAD	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/18/2021					X Childer (give title Conter (specify below) EVP, Chief HR Officer				
(Street)			4. 1	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)			
NORTH CHICAGO	IL	60064							X	Form filed by One			
									Form filed by More than One Reporting Person				
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Ye	ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	tion Date, Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	

A

A

A

A

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Date

Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on earnings per share and relative total shareholder return. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.
 Represents shares of AbbVie common stock issued under a performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.
 Represents shares of AbbVie common stock issued under a performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.
 Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 21, 2019. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 21, 2019. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to the

5. Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 14,079

Exercisable

02/18/2022

(D)

6. Date Exercisable and

Expiration Date (Month/Day/Year)

5. Number

Derivative

Securities

Acquired

(A) or Disposed

(A)

42 236

of (D) (Instr. 3, 4 and 5) 13,957(1)

4,105(2)

4,998(3)

11,978(4)

Expiration

02/17/2031

Date

A

A

A

A

7. Title and Amount

of Securities Underlying Derivative Security

> Amount or Number

Shares

42.236

Steven L. Scrogham, attorney-

\*\* Signature of Reporting Person

in-fact for Timothy J.

Richmond

of

(Instr. 3 and 4)

Title

Commor

stock

\$<mark>0</mark>

\$<mark>0</mark>

\$<mark>0</mark>

\$<mark>0</mark>

13,957

18,062

23,060

35,038

9. Number of

derivative Securities

Owned

Beneficially

Following Reported

Transaction(s) (Instr. 4)

42 236

02/22/2021

Date

8. Price of Derivative

Security (Instr. 5)

\$<mark>0</mark>

D

D

D

D

10.

Form:

Ownership

Direct (D)

or Indirect (I) (Instr. 4)

D

11. Nature

of Indirect Beneficial

Ownership

(Instr. 4)

** Intentional misstatements or omis	ssions of facts constitute I	ederal Criminal Violations See	e 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

02/18/2021

02/18/2021

02/18/2021

02/18/2021

Transaction

Code (Instr. 8)

Code V

A

3A. Deemed Execution Date

if any (Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.