SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:	3
	Estimated average burde	en
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response:	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

							. ,		e Investmer			of 194	10								
1. Name and Address of Reporting Person* Strom Carrie C						2. Issuer Name and Ticker or Trading Symbol <u>AbbVie Inc.</u> [ABBV]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 1 N. WAUKEGAN ROAD						3. Date of Earliest Transaction (Month/Day/Year) 05/08/2020									- X Officer (give title Other (specify below) below) SVP & Pres Global Allerg Aesth						
(Street) NORTH CHICAGO IL 60064			- 4. i	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting							
(City) (State) (Zip)															Perso	ri					
		Tab	le I - No			e Se			-	Dis	1				lly Owned						
1. Title of Security (Instr. 3)		2. Trans Date (Month/I			2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.					(A) or 3, 4 and	Benefici	es ially Following	6. Owners Form: Dir (D) or Indi (I) (Instr. 4	n: Direct or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	v	Amount		(A) or (D) Price		Transac (Instr. 3						
)1 par value		05/08/2020		-			A				A	(1)	_,			D			
)1 par value)1 par value		<u> </u>	3/2020 L/2020	-			A		37,90 23,196		A A	(2) \$0	_	,342		D D			
Common	1 Stock, \$0.0	•	ahle II -				urities	Acc)ien					/ Owned	,550		D			
									s, option						, onneu						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transa Code (8)			itive ities red sed	Expiration Date (Month/Day/Year) Contribution (Month/Day/Year) Contribution Control Co				unt of rities rlying ative S		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title		Amount or Number of Shares							
Option (right to buy) ⁽⁴⁾	\$41.39	05/08/2020			A		4,184		05/08/202	20	08/05/2021	Com Sto		4,184	\$0	4,184	1	D			
Option (right to buy) ⁽⁴⁾	\$47.27	05/08/2020			A		4,184		05/08/202	20)2/17/2022	Com Sto		4,184	\$0	4,184	1	D			
Option (right to buy) ⁽⁴⁾	\$56.93	05/08/2020			A		7,809		05/08/202	20 ()2/21/2023	Com Sto		7,809	\$0	7,809)	D			
Option (right to buy) ⁽⁴⁾	\$67.25	05/08/2020			A		6,370		05/08/202	20 ()2/21/2024	Com Sto		6,370	\$0	6,370)	D			
Option (right to buy) ⁽⁴⁾	\$125.82	05/08/2020			A		5,729		05/08/202	20)2/20/2025	Com Sto		5,729	\$0	5,729)	D			
Option (right to buy) ⁽⁴⁾	\$133.53	05/08/2020			A		2,017		05/08/202	20 ()3/16/2025	Com Sto		2,017	\$0	2,017	7	D			
Option (right to buy) ⁽⁴⁾	\$123.51	05/08/2020			A		2,567		05/08/202	20 ()3/07/2026	Com Sto		2,567	\$0	2,567	7	D			
Option (right to buy) ⁽⁴⁾	\$104.04	05/08/2020			A		3,440		(5))3/06/2027	Com Sto		3,440	\$0	3,440)	D			
Option (right to buy) ⁽⁴⁾	\$65.45	05/08/2020			A		3,613		(6)	0)3/06/2028	Com Sto		3,613	\$0	3,613	3	D			
•	n of Respons in exchange for	ses: 2,816 shares of Alle	rgan plc con	nmon stor	k in co	nect	ion with its	s acon	isition by A	bbVie	e Inc. Allerø	an sha	reholde	s receiv	ed 0.8660 Abi	oVie shares	for eac	h Allergan s	hare (based		
		Vie's common stock of				cu		. acqu	London by A	55 410	i inci g					. , .c 51101C5	cat		une (bused		

2. Received in exchange for 16,457 restricted stock units of Allergan plc in connection with the acquisition of Allergan plc by AbbVie Inc. and previously issued pursuant to the Amended and Restated 2013 Incentive Award Plan of Allergan plc. The number of AbbVie Inc. restricted stock units was calculated pursuant to an equity award conversion ratio of 2.303. The restricted stock units vest as follows: 5,194 on 12/31/2021; 1,633 on 3/2/2021; 903 on 3/5/2021; 717 on 3/6/2021; 247 on 3/7/2021; 400 on 5/7/2021; 20,844 on 12/31/2021; 1,633 on 3/2/2022; 903 on 3/5/2022; 716 on 3/6/2022; 470 on 5/7/2021; 1,633 on 3/2/2023; 905 on 3/5/2023; and 1,635 on 3/2/2024.

3. These securities represent restricted stock units granted under the AbbVie 2013 Incentive Stock Program. They vest in four equal annual increments on the date of grant and include the right to have stock withheld for tax purposes. The options are exercisable as follows: 2,579 exercisable as of 5/8/2020 and 861 as of 3/7/2021.

Received in exchange for stock options of Allergan plc in connection with the acquisition of Allergan plc by AbbVie Inc. and previously issued pursuant to the Amended and Restated 2013 Incentive Award Plan of Allergan plc. The number of AbbVie Inc. stock options was calculated pursuant to an equity award conversion ratio of 2.303.
 The options are exercisable as follows: 2,579 exercisable as of 5/8/2020 and 861 as of 3/7/2021.

6. The options are exercisable as follows: 1,804 exercisable as of 5/8/2020; 902 as of 3/6/2021; and 907 as of 3/6/2022.

<u>Steven L. Scrogham, attorney-</u> <u>in-fact for Carrie Strom</u> 05/12/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.