## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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	APPROVAL
	AFFNUVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addro	ess of Reporting Pers	on <sup>*</sup>			er Name <b>and</b> Ticke Vie Inc. [ABE		ding S	ymbol		(Chec	ationship of Reportin k all applicable) Director Officer (give title	10% (	suer Dwner (specify
(Last) 1 N. WAUKEG	(First) AN ROAD	(Middle)		3. Date 02/18/	of Earliest Transac 2021	ction (M	onth/E	Day/Year)			below)	below Chairman	
(Street) NORTH CHICAGO	IL	60064	4	4. If Am	nendment, Date of (	Original	Filed	(Month/Day/Ye	ar)	6. Indi Line) X	vidual or Joint/Group Form filed by One Form filed by Mor Person	e Reporting Pers	son
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Dat		2. Transactic Date (Month/Day/	Execution Date,		3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock, \$0.01 par value	02/18/2021	А		29,121(1)	A	\$ <mark>0</mark>	96,402	D	
Common Stock, \$0.01 par value	02/18/2021	А		8,565(2)	A	\$ <mark>0</mark>	104,967	D	
Common Stock, \$0.01 par value	02/18/2021	А		10,477 <sup>(3)</sup>	Α	\$ <mark>0</mark>	115,444	D	
Common Stock, \$0.01 par value	02/18/2021	А		17,468(4)	A	\$ <mark>0</mark>	132,912	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of Derivative 9. Number of 10. 11. Nature Conversion or Exercise Price of of Securities Underlying Derivative Security Transaction Expiration Date (Month/Day/Year) derivative Securities of Indirect Beneficial Derivative Da Execution Date Ownership (Month/Day/Year) Code (Instr. 8) Derivative Security (Instr. 3) Security (Instr. 5) Form: Direct (D) if any (Month/Day/Year) Beneficially Securities Ownership Derivative Security or Indirect (I) (Instr. 4) Acquired (Instr. 3 and 4) Owned (Instr. 4) (A) or Disposed Following Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount Numbe Date Expiration of Shares Code v (A) (D) Exercisable Date Title Option(right to buy)<sup>(5)</sup> Commor \$105.92 02/18/2021 A 65 217 02/18/2022 02/17/2031 65.217 \$<mark>0</mark> 65.217 D stock

#### Explanation of Responses:

1. Represents shares of AbbVie common stock issued under a performance share award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on earnings per share and relative total shareholder return. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

2. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 15, 2018. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

3. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 21, 2019. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

4. Represents shares of AbbVie common stock issued under a performance vesting restricted stock unit award granted to the reporting person on February 20, 2020. The award is subject to performance-vesting restrictions based on a relative return on equity measure. The performance-vesting restrictions with respect to these shares were released on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of AbbVie's Board of Directors. The shares will be issued to the reporting person on February 28, 2021.

5. Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 21,739 on February 18, 2022 21,739 on February 18, 2023, and 21,739 on February 18, 2024.

Steven L. Scrogham, attorneyin-fact for Michael Severino

02/22/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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